IAP6 Rec'd PCT/PTO 30 MAY 2007

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TRA	NSN	IITTAL LETTER T	O THE UNITED STATES	ATTORNEY'S DOCKET NUMBER				
			SNATED/ELECTE	016906-0532					
<u> </u> 				S UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/586,926				
			APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
	PCT/EP20			01/28/2005	01/28/2004				
). T	TITLE OF INVENTION HEAT EXCHANGER, IN PARTICULAR A FLAT PIPE EVAPORATOR FOR A MOTOR VEHICLE AIR CONDITIONING SYSTEM								
	APPLICANT(S) FOR DO/EO/US Gottfried DÜRR, Klaus FÖRSTER; Michael KOHL; Emil NEUMANN; Franz OTT and Wolfgang SEEWALD								
App	licant her	ewith s	, Klaus FORSTER; Mich submits to the United Sta	ites Designated/Elected Office (DO/EO/US)	the following items and other information:				
1.	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.		The L	JS has been elected (Arti	icle 31).					
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
			is attached hereto (requ	uired only if not communicated by the Intern	ational Bureau).				
			has been communicate	ed by the International Bureau.					
			is not required, as the a	application was filed in the United States Re	eceiving Office (RO/US)				
6.		An Er	is attached hereto.	on of the International Application as filed (3. a) of the International Application as filed (3. a) of the International Application as filed (3. a)	5 U.S.C. 371(c)(2)).				
7.		Amen	are attached hereto (rechave been communicat	the International Application under PCT Artiquired only if not transmitted by the Internat ted by the International Bureau. nowever, the time limit for making such amend will not be made.	tional Bureau).				
8.		An Er	nglish language translatio	on of the amendments to the claims under F	PCT Article 19 (35 U.S.C. 371(c)(3)).				
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. 36 (□ 35 U.S.C.			on of the annexes to the International Prelim	ninary Examination Report under PCT Article				
lten	15 11 to 2	0 belo	ow concern other docui	ment(s) or information included:					
11.		An Inf	formation Disclosure Stat	tement under 37 CFR 1.97 and 1.98.					
12.		An as	ssignment document for r	recording. A separate cover sheet in compl	iance with 37 CFR 3.28 and 3.31 is included.				
13.		A preliminary amendment.							
14.		An Application Data Sheet under 37 CFR 1.76.							
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825							
18.		A sec	A second copy of the published international application under 35 U.S.C. 154(d)(4).						
19. 20.			·	anguage translation of the international applopy of Notification of Missing Requirements	, , , ,				
				, ,					

FORM PTO-1390 (Modified)

U.S. APPLICATION NO. (If k 10/586,926	nown, see 37 CF	R. 1.5)	PCT/EP2005/000859	NO.	1	y's dock 06-0532	ET NUMBER 2			
The follow	ing fees have	e been su	ıbmitted:							
21. Basic na			•	\$	300	\$	0.00			
22. Examina		ation ren	oort prepared by USPTO ar	ad all clair	ne estich.					
provisions of PCT	-	1100	\$	0.00						
All other situations	, ((1)									
23. Search f	ee	200	1							
Search fee (37 CFR	1.445(a)(2))	has been	n paid on the international	applicati	on to the					
USPTO as an Inte	rnational Sea	arching A	uthority	\$	100					
International Search	Report prepa									
All other situations	. , ,	\$	0.00							
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sequence listing	or compute	r program	n listing filed in an electroni caper or fraction thereof.							
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Surcharge of \$130.0	0 for furnishi	ng the oa	ath or declaration later tha	n 30 mon	· · · · · · · · · · · · · · · · · · ·	\$	130.00			
earliest claimed prior	ity date (37 C	CFR 1.49	2(e))							
CLAIMS	NUMBER	FILED	NUMBER EXTRA	F	RATE	\$				
Total Claims	17	- 20 =	0	×\$	50.00	\$	0.00			
Independent Claims	1	- 3 =	0	×\$	200.00	\$	0.00			
MULTIPLE DEPEND	<u> </u>		nlicable)	+ \$	360.00	\$				
		() (ap	TOTAL OF ABOV	l '	<u></u>	\$	130.00			
Applicant ele		4:44_4				,	<u> </u>			
Applicant cla reduced by 1		tity status	s. See 37 CFR 1.27. Fees	above are	e +	\$	0.00			
				S	UBTOTAL =	\$	0.00			
Processing fee of 13	0 00 for furnis	shing the	English translation later th			\$	0.00			
Processing fee of 130.00 for furnishing the English translation later than 30 months \$ from the earliest claimed priority date (37 CFR 1.492(i)).										
				AL NATIO	NAL FEE =	\$	130.00	***************************************		
Fee for recording the	enclosed as	signment	t (37 CFR 1.21(h)). The as	signment	must be	\$				
accompanied by an a	appropriate c	over shee	et (37 CFR 3.28, 3.31). 40	.00 per pr	operty					
			TOTAL	FEES EI	NCLOSED =	\$	130.00			
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a. A check	in the amou	ınt of	to cover the	above f	ees is enclo	sed.	_			
L □ Dissess	h	·		a 1						
b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. 🛛 The Con	missioner	is hereb	y authorized to charge	any ado	litional fees	which	may be require	ed or credit any		
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NOTE: Where a	ı appropri	ate time	e limit under 37 CFR	1.495 ha	as not beer	n met,	a petition to	revive (37 CFR		
1.137(a) or (b)) m	ust be filed	l and gr	anted to restore the In	ternatio	nal May Mica	Hill ruite	panding stat	Gs10586926		
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria Vincinia 22313-1450

Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/586,926

Gottfried Durr

016906-0532

INTERNATIONAL APPLICATION NO.

PCT/EP05/00859

I.A. FILING DATE

PRIORITY DATE

01/28/2005

01/28/2004

FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

CONFIRMATION NO. 8251
371 FORMALITIES LETTER

OC00000023254122

Date Mailed: 04/05/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/24/2006
- Copy of the International Search Report filed on 07/24/2006
- Preliminary Amendments filed on 07/24/2006
- Information Disclosure Statements filed on 07/24/2006
- U.S. Basic National Fees filed on 07/24/2006
- Priority Documents filed on 07/24/2006
- Specification filed on 07/24/2006
- Claims filed on 07/24/2006
- Abstracts filed on 07/24/2006
- Drawings filed on 07/24/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	. INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/586,926	PCT/EP05/00859	016906-0532

FORM PCT/DO/EO/905 (371 Formalities Notice)